

J. Marion Sims Foundation

Annual Report 2011



LANCASTER COUNTY | GREAT FALLS | FORT LAWN

South Carolina

MISSION

The Foundation's mission is to support programs and projects of prevention and education that enhance the health and wellness of the citizens of Lancaster County and the communities of Great Falls and Fort Lawn, South Carolina.



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FRONT COVER: THE ROAD OUT OF THE DEEP-TONED, VERDANT FOREST LEADS TO A BRIGHTER SUNLIGHT-FILLED LANDSCAPE. THIS PATH IS SYMBOLIC OF THE HOPEFUL JOURNEY TO BETTER TIMES AHEAD AFTER A DIFFICULT AND PROTRACTED ECONOMIC DOWNTURN.

CHAIRMAN'S/PRESIDENT'S LETTER



Seated: R. Malcolm Edwards

Standing: James T. Morton

The past few years have posed significant challenges for the residents of Lancaster County, Great Falls, and Fort Lawn. The effects of the Great Recession continue to linger. Unemployment rates hover well above national and state averages, and too many of our citizens have gone too long without work. A late summer's stock market plunge left the foundation's assets at the end of the 2011 fiscal year significantly below where they began. More recently, a story on Lancaster County aired by a national news outlet in connection with the 2012 presidential election was less than kind.

In the face of these types of headwinds and others, the people of this area have done what they traditionally do – continue to work hard, take care of their neighbors, and face both the present and the future with determination and hope. We appreciate their efforts and, while we are unable to meet every need presented to us, or say yes to every request, we continue to be pleased to assist them where we can.

Sincerely,

R. Malcolm Edwards
CHAIRMAN

James T. Morton
PRESIDENT



PRIORITIES AND GUIDELINES

THE J. MARION SIMS FOUNDATION INVITES APPLICANTS

**FOR ITS RESPONSIVE GRANTS TO SUBMIT INNOVATIVE IDEAS IN THE AREAS
OF PREVENTION AND EDUCATION TO ENHANCE HEALTH AND WELLNESS IN
LANCASTER COUNTY, GREAT FALLS, AND FORT LAWN, SOUTH CAROLINA.**

Interested candidates should call the foundation to schedule a pre-application meeting to be held no less than 30 days prior to the April 15 and October 15 responsive grant application deadlines. The foundation has closed its Adult Literacy and Basic Skills Initiative to new applicants and is focusing its efforts on working with programs to which it awarded grants from 2002-2004.

Funding Priorities

- ♥ The beneficiaries of the grant must be located in Lancaster County, Great Falls, and/or Fort Lawn, South Carolina.
- ♥ The foundation will give priority to grant applicants for programs of community service that provide ongoing support.
- ♥ The foundation seeks to make grants that will have significant and lasting impact on the community.
- ♥ Potential grantees are encouraged to propose innovative collaborations and partnerships that respond to community needs.
- ♥ Proposals should emphasize preventive health care and creative ways of solving long-standing health and wellness concerns.
- ♥ The foundation will favor proposals for specific projects, rather than general operations.



PRIORITIES AND GUIDELINES

Funding Guidelines

- ♥ Awards can be granted only to organizations and institutions exempt from federal taxation under Section 501(c)(3) of the Internal Revenue Code.
- ♥ All grants will be in accordance with the foundation's status as a tax-exempt 501(c)(3) organization.
- ♥ Single-year and multi-year grants will be awarded. "Seed grants" will not exceed three years.
- ♥ While the foundation places no limit on the amount that can be requested, trustees will consider the availability of funds and the impact of a large request.
- ♥ Since the foundation's board of trustees feels strongly that the orientation of grants should be in the area of projects and programs, funding in the following areas may be limited:
 - Operations
 - Capital improvement projects
 - Scholarships, conferences, or seminars that result only in professional advancement but provide no benefit to the greater community
 - Seed grants that do not address an urgent community need and do not demonstrate the potential for ongoing community support

The foundation does not make grants to or for:

- ♥ Individuals
- ♥ Indirect costs, including payments of a percentage of a grant to a local organization's national affiliate
- ♥ Indigent care
- ♥ Programs or projects generally considered to be the role of government
- ♥ Endowments
- ♥ Loans
- ♥ Retire accumulated debt
- ♥ Projects of organizations that primarily benefit their own members or adherents
- ♥ Tickets to charitable events or dinners
- ♥ Legislative lobbying or other political purposes



ADULT LITERACY AND BASIC SKILLS INITIATIVE GRANTS

The foundation has long held the belief that better health outcomes can be obtained through increased literacy, as research has established a direct correlation between people’s literacy and their health as measured by a broad range of indicators. In 2010-’11 the foundation continued its Adult Literacy and Basic Skills Initiative begun in 2002*. Now in its third grantmaking phase, the initiative provides two-year challenge grants, requiring matching funds to be raised by the 11 grantee organizations (from the original cohort of 15) still operating literacy programs.

In 2010-11 the foundation awarded three challenge grants totaling \$209,691 to:

BROOKLYN SPRINGS ELEMENTARY SCHOOL	\$61,237 two-year challenge grant for its “Home Literacy Trail” family literacy program.
THE HOUSING AUTHORITY OF LANCASTER	\$101,208 two-year challenge grant for its “Map to Success” family literacy program.
CHRISTIAN SERVICES OF LANCASTER	\$47,246 two-year challenge grant for its “Lit-up: Up With Literacy and Basic Skills” workplace literacy program.

Also, as part of its initiative, the foundation has facilitated the creation of a member-based organization to provide training and other services for those organizations awarded grants in the initiative. The organization, known as the Carolinas Literacy Network (formerly known as the Lancaster Area Literacy Cooperative), has as its mission eliminating illiteracy and encouraging lifelong learning by providing an effective forum for advocacy, for sharing resources and expertise, and for facilitating sustainability of programs. In 2011, the foundation awarded a two-year grant to the network in the amount of \$536,391 for its work toward these goals.

*For more information about the Adult Literacy and Basic Skills Initiative, please see the foundation’s three and six-year review publications at www.jmsims.org.



RESPONSIVE GRANTS

**THE FOUNDATION ALLOCATES A SIGNIFICANT PORTION OF ITS GRANT
MAKING DOLLARS FOR AWARDS IN RESPONSE TO NEEDS IDENTIFIED BY
VARIOUS COMMUNITY ORGANIZATIONS AND AGENCIES IN ITS GIVING AREA.**

In 2010-'11 Responsive Grants totaling \$1,726,766 were awarded to:

AMERICAN NATIONAL RED CROSS UPPER PIEDMONT CHAPTER	\$10,000 for one year to provide emergency assistance to Lancaster County families who are victims of fires and other natural disasters
CARENET	\$500,000 for one year to fund operational costs of its Care Health Center that provides comprehensive medical services for area residents regardless of the ability to pay
CATAWBA CARE COALITION, INC	\$50,000 for one year to provide education and treatment services for citizens with HIV/AIDS in Lancaster County, Great Falls, and Fort Lawn
CHESTER COUNTY SCHOOL DISTRICT	\$40,830 for one year for its "Save our Students (SOS)" program at Great Falls Middle School and Great Falls High School
CHRISTIAN SERVICES OF LANCASTER	\$20,000 for one year for its food pantry that provides food assistance for low-income families
COLUMBUS PARKER TRACK CLUB	\$20,000 for one year for its health and character-building program for local youth
GOOD SAMARITAN MEDICAL CLINIC	\$38,650 for one year to provide free healthcare services to indigent patients from Lancaster County, Great Falls, and Fort Lawn
GREAT FALLS RESCUE SQUAD	\$15,000 for one year for its Great Falls Rescue Trail Project



RESPONSIVE GRANTS

HOPE IN LANCASTER	\$465,000 for one year for its “Helping Those in Crisis” program to help qualifying Lancaster County citizens to meet basic needs, including food, shelter, and heat
KARE (KERSHAW AREA RESOURCE EXCHANGE)	\$138,000 for one year for its “Crisis Assistance 2011-2012” program
KEYSTONE SUBSTANCE ABUSE SERVICE	\$10,000 for one year for services to clients from Lancaster County
LANCASTER COUNTY COUNCIL OF THE ARTS	\$7,500 for one year for its “Arts Moving Forward” program
LANCASTER COUNTY COUNCIL ON AGING	\$12,000 in matching grant funds to enable the agency to purchase a van for its Lancaster senior center
LANCASTER COUNTY SCHOOL DISTRICT	\$67,000 for one year for its “Swimming and Water Safety Program” to provide all fourth grade students swimming and water safety instruction
LANCASTER FATHERHOOD PROJECT	\$75,000 for one year to support its program of helping low-income, non-custodial fathers become better parents of their children and to improve health outcomes among clients
LIFE SKILLS OPPORTUNITY CORPORATION	\$20,000 for one year to provide parenting education classes and other resources to teenage parents and to help pay for childcare so that young parents can remain in school after their children are born
UNITED WAY OF LANCASTER COUNTY	\$10,000 for one year for a 2-1-1 service referral system
USC LANCASTER	\$143,607 for one year for the Diabetes Education Clinic’s programs of self-management education and patient assistance
UNIVERSITY OF SOUTH CAROLINA LANCASTER	\$64,660 for one year for its program of providing membership assistance to qualifying individuals for the Gregory Health and Wellness Center
UNIVERSITY OF SOUTH CAROLINA LANCASTER	\$10,000 for its Cancer Rehabilitation Clinic
WINTHROP UNIVERSITY	\$9,519 for its Certificate in Non-Profit Management program for local nonprofit organization administrators



TEACHERS PET GRANTS

The foundation offers certified education personnel in Lancaster County, Great Falls, and Fort Lawn the opportunity to apply for grants of up to \$500 during the school year to develop and utilize creative, innovative, short-term projects that enhance the existing instructional program. Applicants are limited to one approved grant per school year.

In 2010-'11 the foundation awarded 183 Teachers Pet Grants totaling \$84,606. These grants bring the total of Teachers' Pet awards to 1,377 grants awarded for approximately \$628,000 since the program's inception.

The foundation continues to offer this program with the aim of inspiring and encouraging creative concepts that have the potential for enhancing community life through the education of youth.

The following schools were awarded Teachers' Pet Grants during 2010-'11:

LANCASTER COUNTY

A.R. Rucker Middle School
Buford Elementary School
Buford Middle School
Buford High School
Clinton Elementary School
Discovery School
Erwin Elementary School
Heath Springs Elementary School
Indian Land Elementary School
Indian Land Middle School
Indian Land High School
Kershaw Elementary School
Lancaster High School
McDonald Green Elementary School
North Elementary School
South Middle School

CHESTER COUNTY

Great Falls Elementary School
Great Falls Middle School
Great Falls High School
Lewisville Elementary School
Lewisville Middle School
Lewisville High School

BOARD OF TRUSTEES



LEFT TO RIGHT

SEATED

Polly C. Jackson, Hubert F. (Hugh) Mobley, R.Ph., R. Malcolm Edwards, MD,
Timothy H. Hallman and Miriam M. Boucher

STANDING

Pamela Y. Temple, Marvin L. Starks, Charles M. Harrell, Robert K. Folks,
Phyllis B. Bunkley and Stanley D. Johnson

STAFF



LEFT TO RIGHT

SEATED

Holly C. Furr, *Program Officer for Responsive Grants*

James T. Morton, *President*

Mary D. Henderson, PhD, *Program Officer for Special Initiatives*

STANDING

Karen W. Ormand, *Administrative Assistant*

E. Brown Crenshaw, Jr. CPA, *Vice President for Finance and Administration*

STANDING COMMITTEES

Audit

TRUSTEE MEMBERS:

Charles M. Harrell (*Chair*)
Robert K. Folks
Hubert F. Mobley

Executive

TRUSTEE MEMBERS:

R. Malcolm Edwards (*Chair*)
Robert K. Folks (*Vice-Chair*)
Pamela Y. Temple (*Secretary*)
Phyllis B. Bunkley (*Treasurer*)

Finance & Investment

TRUSTEE MEMBERS:

Stanley D. Johnson (*Chair*)
Miriam M. Boucher
Phyllis B. Bunkley
R. Malcolm Edwards (*ex-officio*)
Timothy H. Hallman
Marvin L. Starks
Pamela Y. Temple

Finance & Investment

NON-TRUSTEE MEMBERS:

Tony Gilreath

Grants

TRUSTEE MEMBERS:

Miriam M. Boucher (*Chair*)
R. Malcolm Edwards (*ex-officio*)
Robert K. Folks
Charles M. Harrell
Polly C. Jackson
Stanley D. Johnson
Hubert F. Mobley
Pamela Y. Temple

Grants

NON TRUSTEE MEMBERS:

Courtney Catledge
Donna Herchek
Debra P. Jaillette

Board Development

TRUSTEE MEMBERS:

Polly C. Jackson (*Chair*)
Phyllis B. Bunkley
R. Malcolm Edwards (*ex-officio*)
Robert K. Folks
Stanley D. Johnson

FINANCIAL STATEMENTS

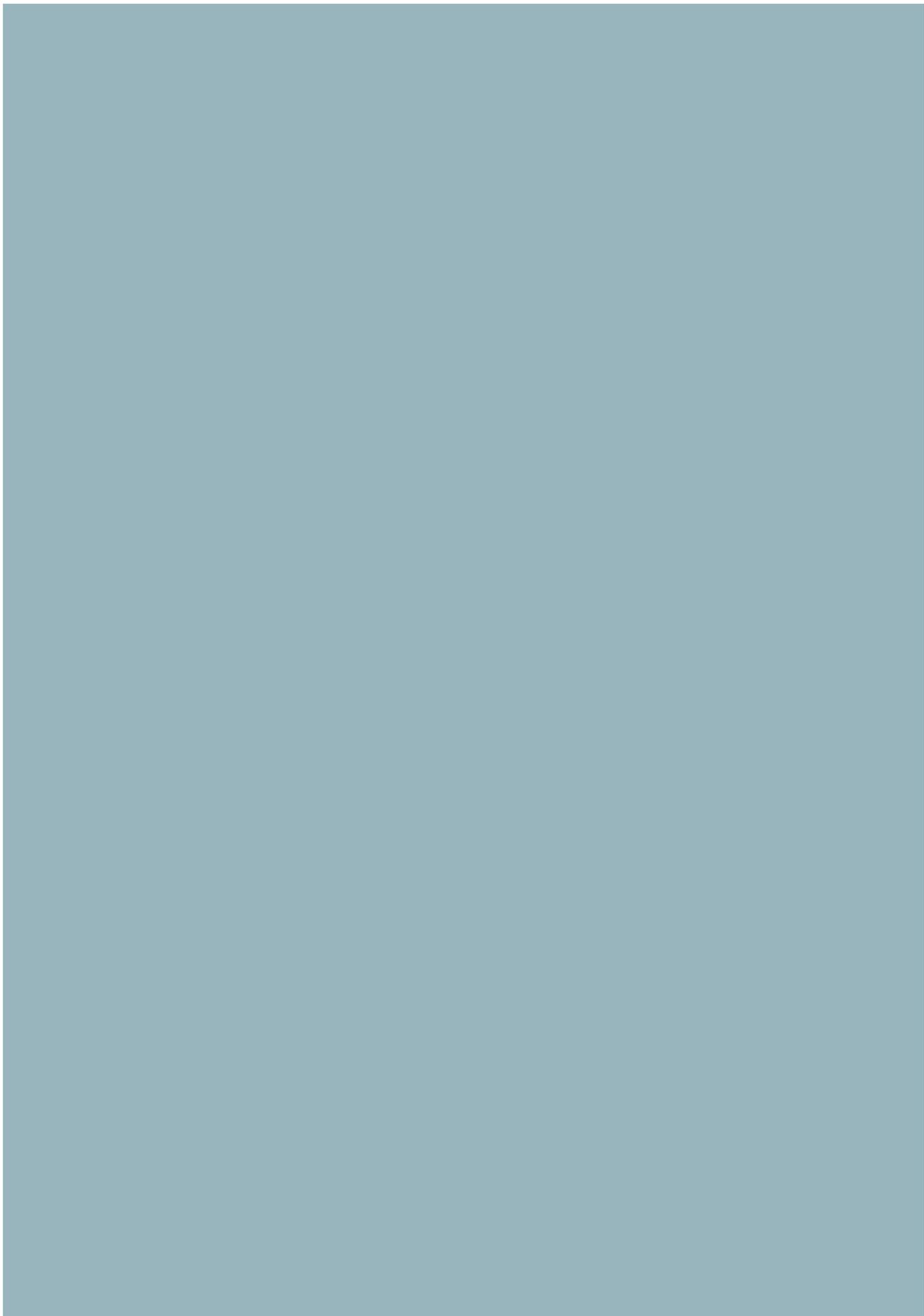
STATEMENTS OF FINANCIAL POSITION SEPTEMBER 30, 2011 AND 2010

ASSETS	2011	2010
Cash and cash equivalents	\$ 101,347	\$ 486,720
Investments, at fair value	55,985,525	60,326,840
Investment income receivable	—	3,027
Beneficial interest in remainder trust	169,188	280,870
Security deposits and prepaid expenses	15,627	20,262
Deferred excise tax asset	77,306	—
Property and equipment, net of accumulated depreciation of \$273,350 and \$244,804	<u>941,025</u>	<u>953,127</u>
TOTAL ASSETS	\$ 57,290,018	\$ 62,070,846
LIABILITIES		
Accounts payable	\$ 17,296	\$ 62,896
Compensation and other accrued expenses	\$ 52,552	\$ 49,358
Federal excise taxes payable	\$ 52,265	\$ 44,518
Deferred excise tax	\$ —	\$ 31,447
Grants payable	<u>\$ 1,634,125</u>	<u>\$ 1,251,776</u>
Total liabilities	\$ 1,756,238	\$ 1,439,995
NET ASSETS		
Unrestricted	<u>\$ 55,533,780</u>	<u>\$ 60,630,851</u>
TOTAL LIABILITIES AND NET ASSETS	\$ 57,290,018	\$ 62,070,846

STATEMENTS OF ACTIVITIES YEARS ENDED SEPTEMBER 30, 2011 AND 2010

	2011	2010
SUPPORT		
Investment income (loss) and net appreciation (depreciation)	<u>\$ (968,582)</u>	<u>\$ 3,610,513</u>
EXPENSES		
Grant expenses	\$ 2,874,960	\$ 1,586,871
Program operating and investment expenses	\$ 1,262,782	\$ 1,233,037
Federal excise tax	\$ 99,500	\$ 53,573
Deferred excise tax (benefit)	<u>\$ (108,753)</u>	<u>\$ (33,893)</u>
TOTAL EXPENSES	\$ 4,128,489	\$ 2,839,588
Net increase (decrease) in unrestricted net assets	\$ (5,097,071)	\$ 770,925
NET ASSETS AT BEGINNING OF YEAR	\$ 60,630,851	\$ 59,859,926
NET ASSETS AT END OF YEAR	<u>\$ 55,533,780</u>	<u>\$ 60,630,851</u>

The foundation is audited by the independent auditing firm of Moore Stephens Tiller LLC.



J. Marion Sims Foundation

